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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/854204-Conf. #8487
	Filing Date	May 11, 2001
	First Named Inventor	Peter Martin FISCHER
	Art Unit	1642
	Examiner Name	K. A. Canella
Total Number of Pages in This Submission	Attorney Docket Number	CCI-010CN

### ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/Incomplete Application  <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input checked="" type="checkbox"/> Petition to Correct Inventorship Pursuant to 37 CFR 1.48(a)  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Copy of executed Statement By Shudong Wang filed in USSN 09/438460; copy of executed Statement by the Assignee filed in USSN 09/438460; copy of DPOA filed in USSN 09/438460; Certificate of Express Mailing; Return Receipt Postcard
<b>Remarks</b>		

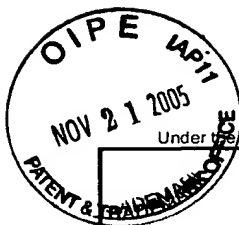
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	LAHIVE & COCKFIELD, LLP		
Signature			
Printed name	Cynthia L. Kanik, Ph.D.		
Date	November 21, 2005	Reg. No.	37,320

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL 913 978 347 US, in an envelope addressed to: MS Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: November 21, 2005

Signature: (Cynthia L. Kanik, Ph.D.)



**PROCESSING FEE  
Under 37 CFR 1.17(i)  
TRANSMITTAL**

(Fees are subject to annual revision)

**Send completed form to:**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/854204-Conf. #8487
Filing Date	May 11, 2001
First Named Inventor	Peter Martin FISCHER
Art Unit	1642
Examiner Name	K. A. Canella
Attorney Docket Number	CCI-010CN

Enclosed is a paper filed under 37 CFR 1.48 that requires a processing fee (37 CFR 1.17(i)).  
Payment of \$ 130.00 is enclosed.

This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.

**Payment of Fees** (small entity amounts are NOT available for the processing fees)

☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 12-0080 :  
☒ processing fee under 37 CFR 1.17(i) ☒ any deficiency of fees and credit of any overpayments

☐ Check in the amount of \$ \_\_\_\_\_ is enclosed.

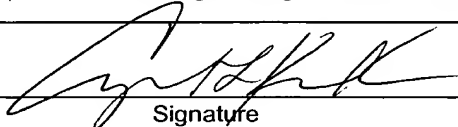
☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

**Processing Fees under 37 CFR 1.17(i): Fee \$130**

**Fee Code 1808 for all,  
Except for §1.221 papers (Fee Code 1803)**

For papers filed under:

- § 1.28(c)(3) – for processing a non-itemized fee deficiency based on an error in small entity status.
- § 1.41 – for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by § 1.63, except in provisional applications.
- § 1.48 – for correcting inventorship, except in provisional applications.
- § 1.52(d) – for processing a nonprovisional application filed with a specification in a language other than English.
- § 1.53(b)(3) – to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b).
- § 1.55 – for entry of late priority papers.
- § 1.71(g)(2) – to enter an amendment to the specification for purposes of 35 U.S.C. 103(c)(2) if not filed within the cited time periods
- § 1.99(e) – for processing a belated submission under § 1.99.
- § 1.103(b) – for requesting limited suspension of action, continued prosecution application (§ 1.53(d)).
- § 1.103(c) – for requesting limited suspension of action, request for continued examination (§ 1.114).
- § 1.103(d) – for requesting deferred examination of an application.
- § 1.217 – for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication.
- § 1.221 – for requesting voluntary publication or republication of an application. **Fee Code 1803**
- § 1.291(c)(5) – for processing a second or subsequent protest by the same real party in interest.
- § 1.497(d) – for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) naming an inventive entity different from the inventive entity set forth in the international stage.
- § 3.81 – for a patent to issue to assignee, assignment submitted after payment of the issue fee.

  
\_\_\_\_\_  
Signature

November 21, 2005

\_\_\_\_\_  
Date

Cynthia L. Kanik, Ph.D.

\_\_\_\_\_  
Typed or printed name

37,320

\_\_\_\_\_  
Registration No., if applicable

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Dated: November 21, 2005

Signature:

(Cynthia L. Kanik, Ph.D.)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Peter Martin Fischer, *et al.*

Serial No.: 09/854,204

Filed: May 11, 2001

For: TRANSPORT VECTORS

Attorney Docket No.: CCI-010CN

Group Art Unit: 1642

Examiner: Karen A. Canella

MS Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. 1.48(a)**

Dear Sir:

In accordance with 37 C.F.R. 1.48(a), Applicants respectfully request correction of inventorship in order to add **Shudong Wang** as inventor number three (3) to the above-referenced patent application. As set forth in 37 C.F.R. 1.48(a), enclosed herein are

- a) an executed Statement By Shudong Wang filed in the parent application (U.S. Serial No. 09/438,460) stating that the error in omitting him as an inventor was erroneous and occurred without deceptive intent;
- b) a declaration by the inventors as required by 37 C.F.R. 1.63 and as filed in the parent application (U.S. Serial No. 09/438,460); and
- c) a Statement by the Assignee also filed in the parent application (U.S. Serial No. 09/438,460) consenting to the correction of the inventorship.

Lastly, please charge the appropriate petition fee of **\$130.00** pursuant to 37 CFR 1.17(i) and 37 C.F.R. 1.48(a) to our Deposit Account No. 12-0080 under Order No. CCI-010CN, from which the undersigned is authorized to withdraw. The Commissioner is hereby authorized to charge any deficiency in these fees or credit any overpayments to our Deposit Account No. 12-0080 under Order No. CCI-010CN.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Cynthia L. Kanik, Ph.D.

Reg. No. 37,320

Attorney for Applicants

11/23/2005 GWORDOF2 00000040 120080 09854204

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130.00 DA

28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400  
Dated: **November 21, 2005**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Peter M. Fischer, et al.

Serial No.: 09/438,460

Filed: November 12, 1999

For: TRANSPORT VECTORS

Attorney Docket No.: CCI-010

Group Art Unit: 1614

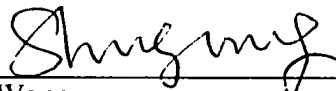
Examiner: Karen A. Canella

Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT BY SHUDONG WANG**

I, Shudong Wang, was erroneously omitted as an inventor for U.S. Patent Application No. 09/438,460, entitled "Transport Vectors," which was filed on November 12, 1999. The error in inventorship (i.e. the omission of my name as an inventor) occurred without deceptive intent on my part.

I have reviewed the above statement and I hereby declare that the statement made herein of my knowledge is true and that the statement made on information and belief is believed to be true, and that this statement was made with the knowledge that a willful false statement and the like so made is punishable by fine or imprisonment, or both, under section 1001 of title 10 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued thereon.

  
Shudong Wang

25.02.2002  
Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Peter M. Fischer, et al.

Serial No.: 09/438,460

Filed: November 12, 1999

For: TRANSPORT VECTORS

Attorney Docket No.: CCI-010

Group Art Unit: 1614

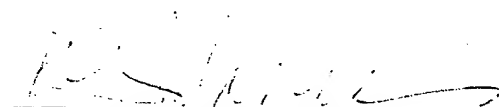
Examiner: Karen A. Canella

Commissioner for Patents  
Washington, D.C. 20231

**WRITTEN CONSENT OF THE ASSIGNEE**

I, Howard Marriage, am the Business Development Director of Cyclacel Limited. I am authorized to act on behalf of Cyclacel Limited with respect to patent matters. Cyclacel Limited consents to the addition of Shudong Wang to U.S. Patent Application No. 09/438,460, entitled "Transport Vectors," which was filed on November 12, 1999.

I hereby declare that the statement made herein of my knowledge is true and that the statement made on information and belief is believed to be true, and that this statement was made with the knowledge that a willful false statement and the like so made is punishable by fine or imprisonment, or both, under section 1001 of title 10 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued thereon.

  
Howard Marriage, D. Phil.

  
Date



Customer Number: 000959

Attorney's  
Docket  
Number CCI-010

Declaration, Petition and Power of Attorney For Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

TRANSPORT VECTORS

the specification of which

(check one)

     is attached hereto.

X was filed on November 12, 1999 as

Application Serial No. 09/438,460

and was amended on \_\_\_\_\_  
(if applicable)

I do not know and do not believe that the subject matter of this application was ever known or used in the United States before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to the date of this application, and that said subject matter has not been patented or made the subject of an issued inventor's certificate in any country foreign to the United States on an application filed by me or my legal representatives or assigns more than twelve months prior to the date of this application; that I acknowledge my duty to disclose information of which I am aware which is material to the examination of this application, that no application for patent or inventor's certificate on the subject matter of this application has been filed by me or my representatives or assigns in any country foreign to the United States, except those identified below, and that I have reviewed and understand the contents of the specification, including the claims as amended by any amendment referred to herein.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

## PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

Check one:

☐ no such applications have been filed.

☒ such applications have been filed as follows

EARLIEST FOREIGN APPLICATIONS, IF ANY, FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Country	Application Number	Date of Filing (day/month/year)	Priority Claimed Under 35 USC 119
GB	9825000.4	13 November 1998	<input checked="" type="checkbox"/> Yes    No <input type="checkbox"/>
GB	9825001.2	13 November 1998	<input checked="" type="checkbox"/> Yes    No <input type="checkbox"/>
GB	9902525.6	4 February 1999	<input checked="" type="checkbox"/> Yes    No <input type="checkbox"/>
GB	9902522.3	4 February 1999	<input checked="" type="checkbox"/> Yes    No <input type="checkbox"/>
GB	9914578.1	22 June 1999	<input checked="" type="checkbox"/> Yes    No <input type="checkbox"/>

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION


CLAIM FOR BENEFIT OF U.S. PROVISIONAL APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)



# CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application. As to subject matter of this application which is common to my earlier United States application, if any, described below, I do not believe that the same was ever known or used in the United States before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to said earlier application, or in public use or on sale in the United States more than one year prior to said earlier application, that the said common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United States on an application, filed by me or my legal representatives or assigns more than twelve months prior to said application and that no application for patent or inventor's certificate on said subject matter has been filed by me or my representatives or assigns in any country foreign to the United States except those identified herein.

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented,pending,aband.)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented,pending,aband.)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

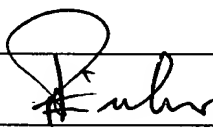
James E. Cockfield	Reg. No. 19,162	Jeremiah Lynch	Reg. No. 17,425
Thomas V. Smurzynski	Reg. No. 24,798	David J. Rikkers	Reg. No. 43,882
Ralph A. Loren	Reg. No. 29,325	Maria C. Laccotripe	Limited Recognition
Giulio A. DeConti, Jr.	Reg. No. 31,503		Under 37 C.F.R. § 10.9(b)
Ann Lamport Hammitte	Reg. No. 34,858	Debra J. Milasincic	Reg. No. 46,931
Elizabeth A. Hanley	Reg. No. 33,505	David R. Burns	Reg. No. 46,590
Amy E. Mandragouras	Reg. No. 36,207	Sean D. Detweiler	Reg. No. 42,482
Anthony A. Laurentano	Reg. No. 38,220	Cynthia L. Kanik	Reg. No. 37,320
Kevin J. Canning	Reg. No. 35,470	Theodore R. West	Reg. No. 47,202
Jane E. Remillard	Reg. No. 38,872	Shayne Y. Huff	Reg. No. 44,784
DeAnn F. Smith	Reg. No. 36,683	Hathaway P. Russell	Reg. No. 46,488
Peter C. Lauro	Reg. No. 32,360	Daniel B. Ko	Reg. No. 47,332
Jeanne M. DiGiorgio	Reg. No. 41,710	John S. Curran	Reg. No. P50,445
Megan E. Williams	Reg. No. 43,270		

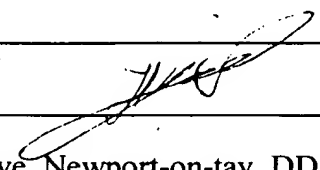
Send Correspondence to Giulio A. DeConti, Jr., Esq. at **Customer Number: 000959** whose address is Lahive & Cockfield, LLP, 28 State Street, Boston, MA 02109

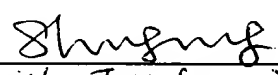
Direct Telephone Calls to Giulio A. DeConti, Jr., Esq. (617) 227-7400

Wherefore I petition that letters patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe my name to said specification and claims and to the foregoing declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor Peter M. Fischer	
Inventor's signature 	Date 26.02.2002
Residence Denley Lodge, 1 Arbirolot Road, Arbroath, Angus DD11 2EN Scotland	
Citizenship Switzerland	
Post Office Address (if different)	

Full name of second inventor Nikolai Zhelev	
Inventor's signature 	Date 25/2/2002
Residence 28, West Acres Drive, Newport-on-tay, DD6 8NR, United Kingdom	
Citizenship Bulgarian and British	
Post Office Address (if different)	

Full name of third inventor Shudong Wang	
Inventor's signature 	Date 25.02.2002
Residence Burnside Mill, Forfar DD4 2RZ <del>Balmuir Farm Cottage, Tealing, DD4 0QY</del> Scotland, United Kingdom	
Citizenship Australian	
Post Office Address (if different)	



Application No. (if known): 09/854204

Attorney Docket No.: CCI-010CN

## Certificate of Express Mailing Under 37 CFR 1.10

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on November 21, 2005  
Date

Signature

Cynthia L. Kanik, Ph.D.

Typed or printed name of person signing Certificate

37,320  
Registration Number, if applicable

(617) 227-7400  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

This Certificate of Mailing (1 page)  
Transmittal Form (1 page)  
Fee Transmittal (1 page, in duplicate)  
Petition to Correct Inventorship Pursuant to 37 CFR 1.48(a) (1 page)  
copy of executed Statement By Shudong Wang filed in USSN 09/438460 (1 page)  
copy of executed Statement by the Assignee filed in USSN 09/438460 (1 page)  
copy of DPOA filed in USSN 09/438460 (6 pages)  
Return Receipt Postcard